

Wealth Team



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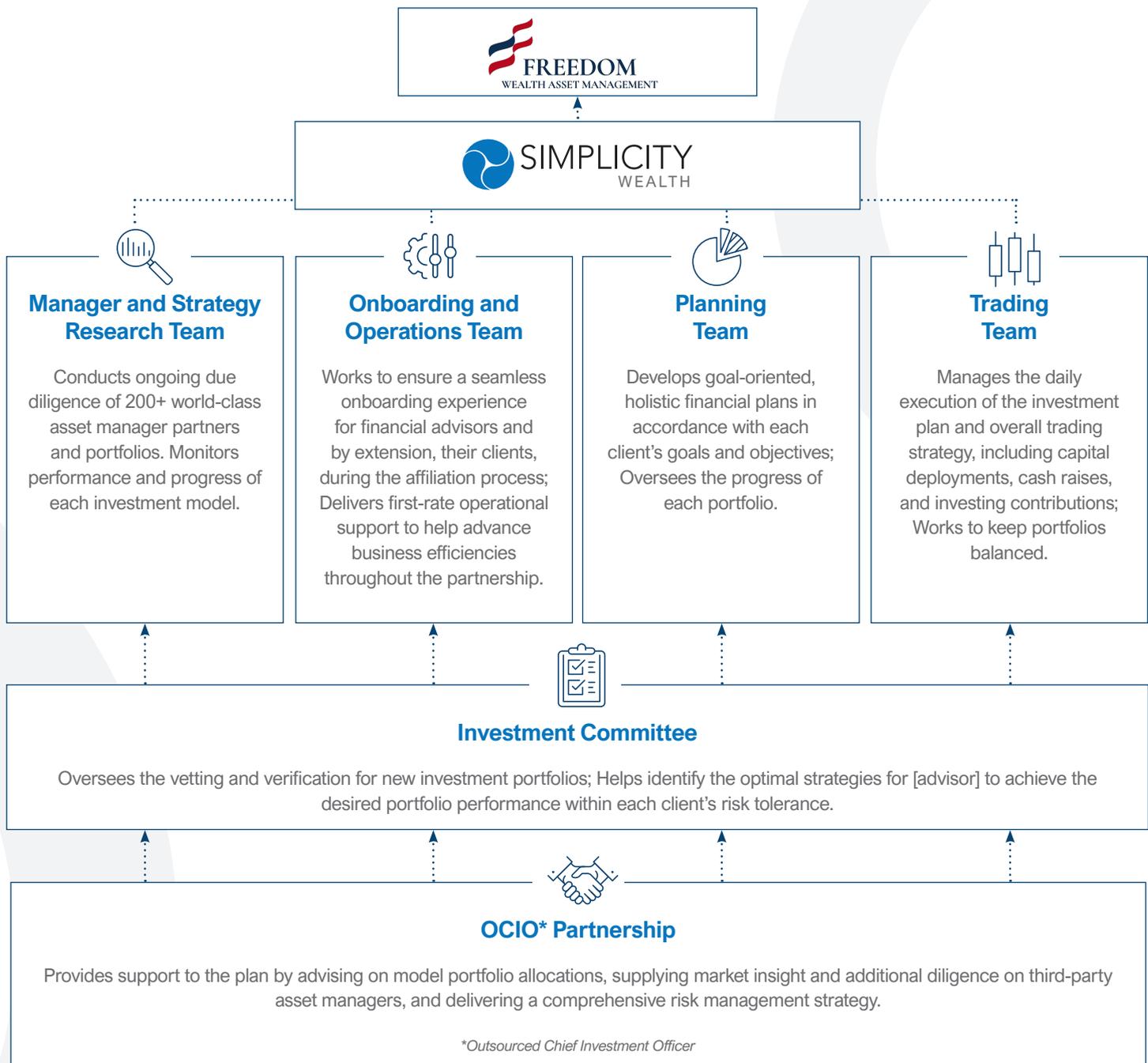
Helping Create Successful
Outcomes Through
Market Cycles

Our Partnership

Freedom Wealth Asset Management is proud to partner with Simplicity Wealth to deliver holistic financial plans to meet our clients' objectives.

At Freedom Wealth Asset Management our experienced team works to: understand our clients' goals and objectives, assess their risk tolerance, perform a comprehensive analysis, and develop a holistic plan for achieving their financial goals.

The work doesn't end there. We are committed to building an engaged partnership, proactively managing each client's portfolio, evaluating the progress of the plan, and adjusting the plan to meet shifting objectives and changing market dynamics. We want to exceed our clients' expectations and provide transparency into our process so that our clients can remain confident in their investment plans.





A Dedicated Approach That
Puts Clients First

Wealth Manager



Daniel Nicolaescu, MBA, RFC®
President

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Thank you for your interest in Freedom Wealth Asset Management. I look forward to discussing how our tailored financial planning, insurance, and investment services can meet you and your family's needs.

Before we meet personally, I would like to introduce myself. I am a financial advisor for a private high-net-worth and prospective client group. As a Registered Financial Consultant (RFC®), I help clients reduce risk, fees, and taxes and increase income through comprehensive wealth management and legacy planning services. Throughout my career, I have combined my expertise and tactical experience with dedication and integrity to firmly build long-term client relationships.

I grew up in New York and attended Pace University in Manhattan, where I received my MBA as one of an exclusive group of 12 students selected to participate in an Accelerated Honors Program. I moved to Florida about 20 years ago and enjoy the warm weather and pleasant opportunities Florida has to offer. I began my finance career as Vice President of a private hedge fund in Orlando, Florida.

I am also a Managing Partner and Executive Vice President at Freedom Wealth Services, an independent financial advisory firm that has helped individuals, families, and businesses attain peace of mind and financial freedom for more than 26 years – quite often increasing clients' tax-free retirement income by at least \$1 million.

To prepare for our consultation, I created this document, which serves as a starting point in our process. We'll first review your current and future financial goals to help determine if Freedom Wealth Asset Management is the right fit. Then, I'll provide insight into how we can potentially help you invest for the future and pursue these important goals.



Integrated Partnership
Leveraging Best-in-Class
Resources

Simplicity Wealth



Erin McCann
EVP of Wealth

Mr. McCann manages the Wealth division at Simplicity Group. Prior to Simplicity, Mr. McCann was the Director of Investments for a multi-billion-dollar family office, for which he was responsible for building and managing a diversified investment portfolio. Mr. McCann previously worked at Goldman Sachs and the NFL. Mr. McCann earned an M.B.A. from the University of North Carolina and a B.A. from Hamilton College.



Thomas Rozman
Chief Investment Officer

Mr. Rozman is responsible for leading the Investment team for Simplicity Wealth. Prior to Simplicity, Mr. Rozman was President and Chief Investment Officer of Sawtooth Solutions. He also worked in Institutional Sales and Trading at Merrill Lynch. Mr. Rozman is a Chartered Financial Analyst®, Chartered Alternative Investment Analyst®, and is Series 65 registered. He has previously held Series 7 and 24 securities licenses. Mr. Rozman earned an M.B.A. from the University of Chicago Booth School of Business and a B.A. from St. Olaf College.



Rosie Riley
Head of Onboarding and Operations

Ms. Riley is responsible for leading the Onboarding and Operations team for Simplicity Wealth. She has spent more than 20 years in the financial services space. Prior to Simplicity, Ms. Riley was the President and CEO of HighImpact Consulting LLC, a consulting firm dedicated to optimizing efficiencies for financial services firms. Ms. Riley earned her B.A. from University of Northwestern, St. Paul, with a degree in Business Administration and Management.



Michael Mortellaro
Head of Planning

Mr. Mortellaro is responsible for leading the Planning team for Simplicity Wealth. Prior to Simplicity, Mr. Mortellaro led the Practice & Planning teams at Global Financial Private Capital. In his 25 years of experience in the financial services industry, he also held executive leadership positions at Charles Schwab, Fidelity Investments, and New York Life. Mr. Mortellaro is Series 7, 9, 10, 63 and 65 securities licensed, and is a Life and Health insurance licensed agent. Mr. Mortellaro earned a B.B.A. from American Intercontinental University.



Jared Hillman
Head of Trading

Mr. Hillman is responsible for leading the Trading team for Simplicity Wealth. Prior to Simplicity, Mr. Hillman was Head of Trading at Sawtooth Solutions where he led the development and build out of the firm's sleeve-level rebalancing software and helped grow its assets under management from \$20 million to over \$15 billion. Prior to Sawtooth, Mr. Hillman was an investment analyst, specializing in hedge funds and private equity at Mount Yale Capital Group. Mr. Hillman is Series 65 securities licensed and earned a B.A. from Macalester College.

Simplicity Solutions LLC., provides various investment-related subadvisory and support services to Simplicity Wealth. Employees of Simplicity Solutions act on behalf of Simplicity Wealth when working with their affiliated investment advisor representatives or accounts.



Guided by the principles of education, value, and partnership, Simplicity Wealth is a comprehensive wealth management platform that specializes in providing independent financial advisors, and by extension their clients, with holistic financial planning solutions. Led by a team of credentialed professionals, Simplicity Wealth delivers institutional-level investing and sophisticated technologies to help investors minimize risk and maximize potential return of their household portfolio. Simplicity Wealth was formed in 2019 as an operating company of Simplicity Group. For more information about Simplicity Wealth, please visit www.simplicitywealth.com.

Investment advisory and financial planning services offered through Simplicity Wealth, LLC, a Registered Investment Advisor. Sub-advisory services are provided by Simplicity Solutions, LLC, a Registered Investment Advisor. Insurance, Consulting and Education services offered through Freedom Wealth Asset Management. Freedom Wealth Asset Management is a separate and unaffiliated entity from Simplicity Wealth and Simplicity Solutions.

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